

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: DONALD C. MANN
BERT D. COOK, JR.
WILLIAM ZEISSNER and
ROBERT L. PARKISON

SERIAL NO.: 09/426,793

FILED: OCTOBER 23, 1999

FOR: DATA STORAGE DEVICE, APPARATUS AND
METHOD FOR USING SAME

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

GROUP ART UNIT

2876

EXAMINER

300 MAIL ROOM

MAY 17 2002

RECEIVED

CERTIFICATE OF FILINGDOCUMENTS BY EXPRESS MAIL

Dear Sir:

Pursuant to the provisions of 37 C.F.R. Section 1.10,
enclosed herewith are the following documents for filing:

- (1) INFORMATION DISCLOSURE STATEMENT in accordance with

I hereby certify that this correspondence is
being deposited with the United States Postal
Service as EXPRESS MAIL NO. ET946015505US in
an envelope addressed to:
Commissioner of Patents and Trademarks,
Washington, D.C. 20231, on May 10, 2002.

Date of Signing: May 10, 2002

Signature: David J. Meaney

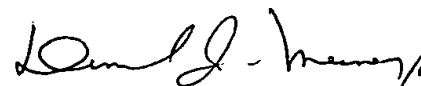
Date of Mailing: May 10, 2002

Paragraph (a) of 37 C.F.R. § 1.97, together with Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT comprising nine (9) sheets and copies of cited art including Foreign cited art other than United States Patents;

- (2) Check for \$180 paying the The Small Entity Fee for filing the INFORMATION DISCLOSURE STATEMENT in accordance with Paragraph (a) of 37 C.F.R. § 1.97; and
- (3) POSTCARD.

We respectfully request a filing date of May 10, 2002, the date of mailing the above-referenced documents, pursuant to the provisions of 37 C.F.R. Section 1.10.

Respectfully submitted,



Daniel J. Meaney, Jr.
Registration 22179
Attorney for Applicants

DANIEL J. MEANEY, JR., ESQ.
P. O. Box 22307
Santa Barbara, CA 93121
Telephone: (805) 687-6909

Dated: May 10, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: DONALD C. MANN)
 BERT D. COOK, JR.)
 WILLIAM ZEISSNER and)
 ROBERT L. PARKISON)
) GROUP ART UNIT
 SERIAL NO.: 09/426,793) 2876
)
 FILED: OCTOBER 23, 1999) EXAMINER
)
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 METHOD FOR USING SAME)

RECEIVED
 MAY 17 2002
 102800 MAIL ROOM

#2
 D. Scott
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Commissioner of Patents
 and Trademarks
 Washington, D.C. 20231

INFORMATIONAL DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97(a), this Informational
 Disclosure Statement is being filed concurrently with an
 AMENDMENT in the above-identified United States Patent

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 being deposited with the United States Postal
 Service as EXPRESS MAIL NO. ET946015505US in
 an envelope addressed to:
 Commissioner of Patents and Trademarks,
 Washington, D.C. 20231, on May 10, 2002.

Date of Signing: May 10, 2002

Signature: *Donald C. Mann*

Date of Mailing: May 10, 2002

Application and includes copies of Prior Art, set forth below, which appears to be pertinent to the invention.

The filing of the Information Disclosure Statement, in accordance with Paragraph (a) of 37 C.F.R. § 1.97, should not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

A Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT comprising nine (9) sheets are also herewith. Copies of the cited United States Patents are available to the Examiner in the United States Patent Office's electronic search system, and for this reason, copies of the same are not included herewith. Copies of the other cited art including Foreign Cited art are enclosed herewith. If the Examiner desires copies of the United States Patents, Applicant will be pleased to provide such copies to the Examiner at the request of the Examiner.

ADDITIONAL FEES

The filing fee of \$180.00 is enclosed herewith. No additional fees are deemed due herein. However, if any fees are required for any reason, please charge the same to Deposit Account No. 13-2515.

REMARKS

The cited art includes references cited in a PCT Application which was filed and based on this United States Patent Application.

None of the prior art described above anticipates, discloses, suggests or teaches the invention disclosed in the Specification and claimed herein.

The Examiner is respectfully requested to consider this prior art and to include the same as listed prior art in the United States Patent issuing hereon.

Respectfully submitted



Daniel J. Meaney, Jr.

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Dated: May 10, 2002

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FORM PTO-1149
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

5555 413436/010

SERIAL NO.

09/426,793

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT Donald C. Mann et al

FILING DATE

October 23, 1999

GROUP

2876

U.S. PATENT DOCUMENTS

EXAMINER R INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6	1	3	1	8	1	6	10/17/00	Smith	235	475	
	AB	6	0	7	9	6	2	1	06/27/00	Vardanyan et al	235	487	
	AC	5	9	4	1	3	7	5	08/24/99	Kamens et al	206	38	
	AD	5	9	0	1	3	0	3	05/04/99	Chew	711	115	
	AE	5	9	0	1	0	1	2	05/4/99	Ishida	360	88	
	AF	5	8	9	8	6	1	2	04/27/99	Chen et al	365	158	
	AG	5	8	9	5	9	0	9	04/20/99	Yoshida	235	487	
	AH	5	8	9	5	9	0	3	04/30/99	Abe et al	235	380	
	AI	5	8	8	9	2	7	3	03/30/99	Goto	235	492	
	AJ	5	8	8	6	3	3	3	03/23/99	Miyake	235	380	
	AK	5	8	8	4	2	7	1	03/16/99	Pitroda	705	1	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL	9	2	1	0	4	8	5	12/7/92	PCT Application W093/1292 08.07.93				
	AM	0	1	9	4	6	7	5	09/17/86	European Pat Appln	4	G11B5/71		
	AN	1	0	0	4	1	1	1	8 04/98	Japan Pat Appln (Abstract)			X	
	AO													
	AP													

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	A High Resolution Flying Magnetic Disk Recording System With Zero Reproduce Spacing Loss; B.Gooch, R.Niedermeyer, R.Wood and R.Pisharody
		Pages 4545-4554, IEEE Transactions on Magnetis, Volume 27, No.6, November 1991 (The "Gooch Reference")
	AR	One (1) Page Advertising Brochure; SysTECH; Wallet size CDROM;
		Copyright 1999

EXAMINER

DATE CONSIDERED

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267 5555cit1

FORM PTO-1149
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U.S. PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5	8	8	3	3	7	7	03/16/99	Chapin	235	493	
	BB	5	8	8	0	4	4	5	03/09/99	Mori et al	235	380	
	BC	5	8	6	9	8	2	3	02/09/99	Bublitz et al	235	380	
	BD	5	8	6	6	8	9	1	02/02/99	Fujimoto et al	235	435	
	BE	5	8	6	2	1	7	4	01/19/99	Yokota	375	219	
	BF	5	8	6	1	2	2	0	01/19/99	Coughlin	428	694	
	BG	5	8	5	9	4	1	9	01/12/99	Wynn	235	487	
	BH	5	8	5	7	0	7	9	01/05/99	Claus et al	704	33	
	BI	5	8	5	2	2	8	9	12/22/98	Masahika	235	492	
	BJ	5	8	5	1	6	8	8	12/22/98	Chen et al	428	694	
	BK	5	8	4	4	2	3	0	12/1/98	Lalonde	235	487	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	BL													
	BM													
	BN													
	BO													
	BP													

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	BQ	(One Page) Advertizing Brochure; iomega Click! PC Card Drive (40 MB Disk) Iomega Corporation, 1999
	BR	Three(3) Pages / Gold CD Mini Rom(Picture); Back/Front Packaging for CD Mini Rom AOL;;America On Line;1995

EXAMINER

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267 5555cit2

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EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA	5	8	4	4	2	1	8	12/01/98	Kawan et al	235	380	
	CB	5	8	4	3	5	6	5	12/1/98	Davies et al	428	212	
	CC	5	8	3	4	7	5	6	11/10/98	Gutman et al	235	493	
	CD	5	8	2	8	0	5	3	10/27/98	Kinugasa	235	492	
	CE	5	8	2	5	3	9	3	10/20/98	Koczmar et al	347	171	
	CF	5	7	8	9	7	3	3	08/04/98	Jachimowicz et al	235	492	
	CG	5	7	7	7	3	0	6	07/07/98	Masuda	235	380	
	CH	5	7	7	0	9	4	2	06/23/98	Tagchi	342	207.21	
	CI	5	7	6	3	8	6	2	06/09/98	Jachimowicz et al	235	380	
	CH	5	7	4	8	7	3	7	05/05/98	Daggar	380	24	
	CJ	5	7	3	8	9	4	5	04/14/98	Lal et al	428	611	
	CK	5	7	3	4	1	5	4	03/31/98	Jachimowicz et al	235	492	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
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	CM													
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	CO													
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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267 5555cit3

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DA	5 7 2 3 0 3 3	03/03/98	Weiss	204	192.15	
	DB	5 7 2 0 5 0 0	02/24/98	Okazaki et al	360	81	
	DC	5 7 1 4 7 4 7	02/03/98	West et al	235	493	
	DD	5 7 1 3 4 0 6	02/03/98	Drury	150	132	
	DE	5 6 9 8 8 3 9	12/16/97	Jagielinski	235	493	
	DF	5 6 7 9 9 4 5	10/21/97	Renner et al	235	492	
	DG	5 6 7 9 9 4 2	10/21/97	Toyama	235	449	
	DH	5 6 3 7 1 7 4	06/10/97	Field et al	156	256	
	DI	5 6 1 2 5 2 6	03/18/97	Oguchi et al	235	375	
	DJ	5 6 0 9 2 5 3	03/11/97	Goade	206	460	
	DK	5 5 9 8 4 7 4	01/28/97	Johnson	380	23	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	DL							
	DM							
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267 5555cit4

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APPLICANT Donald C. Mann et al

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U.S. PATENT DOCUMENTS

EXAMINER R INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	EA	5	5	8	8	7	6	3	12/31/96	Nubson et al	400	700	
	EB	5	5	5	9	8	8	5	09/24/96	Drexler et al	380	23	
	EC	5	5	5	7	0	8	9	09/17/96	Hall et al	235	440	
	ED	5	5	3	5	0	7	8	07/09/96	Warwick	360	119	
	EE	5	5	3	0	2	3	2	06/35/96	Taylor	235	380	
	EF	5	5	2	1	7	7	4	05/28/96	Parks et al	360	81	
	EG	5	5	0	9	0	8	3	04/16/96	Abtahi et al	382	124	
	EH	5	4	8	0	6	8	5	01/02/96	Suzuki et al	427	548	
	EI	5	4	6	6	9	1	8	11/14/95	Ray et al	235	380	
	EJ	5	4	5	2	1	4	3	09/19/95	Kamagami	360	2	
	EK	5	4	3	1	7	4	6	07/11/95	Manning et al	148	122	

FOREIGN PATENT DOCUMENTS

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	EL													
	EM													
	EN													
	EO													
	EP													

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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267 5555cit5

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U.S. PATENT DOCUMENTS

EXAMINER R INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	FA	5	4	2	6	2	8	6	06/20/95	Nair	235	449	
	FB	5	4	2	1	6	1	8	06/6/95	Okazaki et al	283	82	
	FC	5	4	1	0	1	3	6	04/25/95	Mcintire et al	235	380	
	FD	5	3	9	7	8	8	6	03/14/95	Mos et al	235	475	
	FE	5	3	9	6	5	4	5	03/07/95	Nair et al	379	91	
	FF	5	3	9	6	3	6	9	05/7/95	Deland Jr et al	360	2	
	FG	5	3	6	2	9	5	2	11/08/94	Nair et al	235	449	
	FH	5	3	3	6	8	7	1	10/09/94	Colgate Jr	235	380	
	FI	5	3	2	1	2	4	3	06/14/94	Groves et al	235	449	
	FJ	5	3	1	1	0	0	3	05/10/94	Saroya	235	485	
	FK	5	3	0	9	3	8	8	05/03/94	Maruyama et al	365	87	

FOREIGN PATENT DOCUMENTS

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	FL													
	FM													
	FN													
	FO													
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

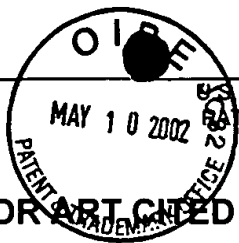
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267 5555cit6

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U.S. PATENT DOCUMENTS

EXAMINER R INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
	GA	4	9	7	8	4	0	1	12/18/90	Bonomi	156	64	
	GB	4	9	3	7	4	3	8	06/26/90	Warwick et al	235	446	
	GC	4	9	3	1	6	2	3	06/05/90	Nakamura et al	235	488	
	GD	4	8	5	1	6	1	0	07/25/89	LeBlanc et al	174	35R	
	GE	4	8	3	3	3	1	0	05/23/89	Shimamura et al	235	479	
	GF	4	7	9	1	2	8	3	12/13/88	Burkhardt	235	438	
	GG	4	7	7	7	5	4	0	10/11/88	McCoy	360	2	
	GH	4	7	7	4	6	1	8	09/27/88	Raviv	360	133	
	GI	4	7	0	1	6	0	1	10/20/87	Francini et al	235	449	
	GJ	4	6	8	7	7	1	2	08/18/87	Sugita et al	428	611	
	GK	4	6	2	0	7	2	7	11/04/86	Stockburger et al	283	82	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	GL													
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267 5555cit7

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EXAMINER R INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	HA	4	6	1	2	4	3	6	09/16/86	Okada	235	449	
	HB	4	5	8	5	9	2	9	04/29/86	Brown et al	235	449	
	HC	4	7	8	6	5	6	4	11/22/88	Chen et al	428	694TS	
	HD	4	6	0	9	8	1	2	09/02/86	Drexler	235	487	
	HE	4	5	9	8	1	9	6	07/01/86	Pierce et al	235	454	
	HF	4	5	3	5	3	6	9	08/13/85	Sawazaki	360	55	
	HG	4	5	3	0	0	1	6	07/16/85	Sawazaki	360	55	
	HH	4	4	5	0	9	5	5	05/29/84	Featherston	206	39.6	
	HI	4	3	1	8	1	3	6	03/02/82	Jeffers	360	17	
	HJ	4	2	7	7	8	0	9	07/07/81	Fisher et al	360	131	
	HK	4	1	9	7	9	8	8	04/15/80	Moss et al	235	449	

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	HL													
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263 5564cit8

FORM PTO-1149
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PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

5564 413436/080

SERIAL NO.

09/502,812

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT Bert D. Cook, Jr. et al

FILING DATE

February 9, 2000

GROUP

2876

U.S. PATENT DOCUMENTS

EXAMINER R INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	IA	4	1	4	1	4	0	0	02/27/79	Mangan	206	39.6	
	IB	4	1	0	4	6	8	2	08/01/78	Lehner et al	360	2	
	IC	4	0	7	6	1	2	5	02/28/78	Ohsaki et al	209	80.5	
	ID	3	8	3	8	2	5	2	09/24/74	Hynes et al	235	61.12M	
	IE	3	6	7	7	8	4	3	07/17/72	Reiss	216	22	
	IF												
	IG	D	4	0	8	3	7	7	04/20/99	Ferchau et al	D14	387	
	IH												
	II												
	IJ												
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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